

Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed

1.1. Name of the Data, data collection Project, or data-producing Program:

SWFSC/MMTD/ETP: Chase Encirclement Stress Studies (CHESS) 2001

1.2. Summary description of the data:

The Chase Encirclement Stress Studies (CHESS) are part of a comprehensive research program designed to investigate the status of dolphin stocks that are involved in tuna purse seine fishing operations in the eastern tropical Pacific Ocean (ETP). The tuna industry has used the association between tuna and dolphins to fish in the ETP for over five decades, and stocks of spotted dolphins (*Stenella attenuata*) and spinner dolphins (*Stenella longirostris*) were depleted by high historical levels of mortality in tuna purse-seine nets. While changes in the fishery during the last three decades have greatly reduced the observed mortality of dolphins, there continues to be concern that the fishing methods used may be causing stress and having a negative impact on dolphin population recovery.

The Chase Encirclement Stress Studies involved the use of a research vessel and a chartered tuna fishing vessel during August-October 2001 to repeatedly capture and release individual dolphins and collected biological samples for evaluating stress. The research program incorporated several complementary research projects that addressed different ways in which chase and capture stress may manifest itself in individual dolphins involved in tuna purse seine operations. Research techniques for evaluating stress included a) analyses of single and repeat blood samples, b) molecular analyses of chronic stress from skin samples, c) measurement of dolphin surface and body temperatures to investigate heat stress, d) satellite tagging and tracking, e) documentation of reproductive status and, if it occurred, cow/calf separation between successive chases, and f) behavior studies.

1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

1.4. Actual or planned temporal coverage of the data:

2001-08-08 to 2001-10-04

1.5. Actual or planned geographic coverage of the data:

W: -100, E: -95.5, N: 13.5, S: 9.5
eastern tropical Pacific Ocean

1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)
Data are in various digital formats and will be listed and described as entities.

1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

Instrument: Various instruments were used based on the entity within the data set and will be documented at the entity level.

Platform: Fishery Research Vessels

Physical Collection / Fishing Gear: N/A or described at the entity level within the data set.

1.8. If data are from a NOAA Observing System of Record, indicate name of system:**1.8.1. If data are from another observing system, please specify:****2. Point of Contact for this Data Management Plan (author or maintainer)****2.1. Name:**

Thomas J Moore

2.2. Title:

Metadata Contact

2.3. Affiliation or facility:

Southwest Fisheries Science Center

2.4. E-mail address:

thomas.j.moore@noaa.gov

2.5. Phone number:

(858) 546-7088

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.

3.1. Name:

Alan R Jackson

3.2. Title:

Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

4.1. Have resources for management of these data been identified?

No

4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):

Unknown

5. Data Lineage and Quality

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Lineage Statement:

The lineage and processing steps will be documented for data at the entity level.

5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

5.2. Quality control procedures employed (describe or provide URL of description):

Quality control procedures will be documented for the data at the entity level as they will be different based on the type of data.

6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

Yes

6.1.1. If metadata are non-existent or non-compliant, please explain:

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:

6.3. URL of metadata folder or data catalog, if known:

<https://inport.nmfs.noaa.gov/inport/item/30627>

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NMFS Data Documentation Procedural Directive: <https://inport.nmfs.noaa.gov/inport/downloads/data-documentation-procedural-directive.pdf>

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

7.1. Do these data comply with the Data Access directive?

No

7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?

No

7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:

FISMA Controls are in place to protect stored data from unauthorized access and/or disclosure.

7.2. Name of organization of facility providing data access:

Southwest Fisheries Science Center

7.2.1. If data hosting service is needed, please indicate:

Yes

7.2.2. URL of data access service, if known:

<https://swfsc.noaa.gov/mmttd>

7.3. Data access methods or services offered:

When resources are available to make data accessible; in interim, contact SWFSC MMTD.

7.4. Approximate delay between data collection and dissemination:

Unknown

7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:

8. Data Preservation and Protection

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to

identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)

NCEI-CO

8.1.1. If World Data Center or Other, specify:

8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:

8.2. Data storage facility prior to being sent to an archive facility (if any):

Southwest Fisheries Science Center - La Jolla, CA

8.3. Approximate delay between data collection and submission to an archive facility:

Unknown

8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

FISMA Controls are in place to protect stored data from unauthorized access. Data are backed up on a daily basis on a local area network and, in some cases, external hard drives as well. Data are also backed up to tape and stored at a remote site for retrieval in case recovery is needed from these off-site media.

9. Additional Line Office or Staff Office Questions

Line and Staff Offices may extend this template by inserting additional questions in this section.